

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Dipankar Gupta)	Re: Information Disclosure
)	Statement
Serial No.: not yet assigned)	Group: 2164
)	
Filed: concurrently herewith)	Examiner: J. Patel
)	
)	Our Ref.: B-3592DIV 619037-4
For: "DOCUMENT TRANSFER)	
SYSTEMS")	Date: January 18, 2002

Hon. Commissioner of Patents and Trademarks
 Box New Patent Application
 Washington, D.C. 20231

Sir:

In accordance with the Applicant's duty to disclose information which may be material to the examination of this application, the undersigned respectfully requests that the documents cited by the Examiner and/or submitted by the Applicant in connection with U.S. Serial No. 09/248,676 filed on February 11, 1999 (the parent of the Applicant's subject application), be considered by the Examiner before issuing the first Office Action on the merits.

For the Examiner's convenience, Form PTO-1449 (modified) is enclosed herewith listing the documents cited by the Examiner and/or submitted by the Applicant in connection with U.S. Serial No. 09/248,676. A copy of the cited documents can be found in U.S. Serial No. 09/248,676.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made (37 C.F.R. 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

The filing of this Information Disclosure Statement shall not be



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construed as an admission against interest in any manner. (Notice of January 9, 1992, 1135 O.G. 13-25, at 25.)

The person making this statement is the practitioner who signs below on the basis of information supplied by an individual associated with the filing and prosecution of this application (37 C.F.R. § 1.56(c)) and on the basis of information in the practitioner's file.

If any fees are due, please charge our Deposit Account No. 12-0415 or credit any overpayment to our Account No. 12-0415. No fees should be due because this Information Disclosure Statement is being filed concurrently with the above-identified U.S. patent application.

Respectfully submitted,



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Enclosures: Form PTO-1449 (modified) (2 pages)

11046 U.S. PTO
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Form PTO-1449 (Modified)	ATTY DOCKET NO. B-3592DIV 619037-4	U.S. SERIAL NO. Not yet assigned
	APPLICANT(S) Dipankar Gupta	
	FILING DATE Concurrently herewith	GROUP 2164

January 18, 2002

U.S. PATENTS DOCUMENTS

Examiner Initial	Document Number	ISSUE Date	Name	Class	Subclass	Filing Date if Appropriate
	5,222,136	6/93	Rasmussen et al.	380	9	
	5,301,247	4/94	Rasmussen et al.	380	43	
	5,310,997	5/94	Roach et al.	235	375	
	5,416,841	5/95	Merrick	380	29	
	5,629,982	5/97	Micali	380	30	
	5,666,414	9/97	Micali	380	21	
	5,666,420	9/97	Micali	380	30	
	5,671,280	9/97	Rosen	380	24	
	5,784,460	7/98	Blumenthal et al.	380	4	
	5,794,207	8/98	Walker et al.	705	23	
	5,799,086	8/98	Sudia	380	23	
	5,889,860	3/99	Eller et al.	380	4	
	5,923,754	7/99	Angelo et al.	380	4	
	6,026,163	2/00	Micali	380	9	
	6,041,316	3/00	Allen	705	52	
	6,084,968	7/00	Kennedy et al.	380	259	

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO
0 695 076	1/96	EP			
0 626 776	11/94	EP			

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

"An Introduction to Electronic Commerce," URL http://www.worldquest.com/wqu/elecomm.html , (November 24, 1995).

		Stadler, M., "Publicly Verifiable Secret Sharing," <i>Advances in Cryptology - EUROCRYPT '96, International Conference on the Theory and Application of Cryptographic Techniques</i> , Saragossa, Spain, Vol. 1070, pp. 190-9 (May 1996).
		Brands, S., "An Efficient Off-line Electronic Cash System Based on the Representation Problem," <i>Technical Report</i> , CS-R9323, Amsterdam, 77 pages, (1993).
		Franklin, M., and M. Reiter, "Fair Exchange with a Semi-Trusted Third Party," <i>Proceedings of the 4th ACM Conference on Computer and Communications Security</i> , 6 pages, (1997).
		Asokan, N., et al., "Optimistic Protocols for Fair Exchange," <i>Proceedings fo the 4th ACM Conference on Computer and Communications Security</i> , 11 pages, (1997).

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.